

# Computer Science 161

## Midterm

**150 minutes/150 points**

Fill in your name, logname, and TF's name below.

Name

Logname

The points allocated to each problem correspond to how much time we think the problem should take. We ask that you not spend more than 150 minutes on this exam. You need not complete the exam in one sitting, so you can think about problems while you are not actively working, but we ask that you limit actual work to not more than 150 minutes total.

Please place the answers to the questions in a PLAIN TEXT file. Mark the answers clearly so it is obvious what answers correspond to what questions. Please place your answers in order in the file. Send your completed exam to [margo@eecs.harvard.edu](mailto:margo@eecs.harvard.edu). Please enclose your answers directly in your mail message - do not make it a separate attachment.

This exam is open text book, open source code, and open notes. **You may not use computers<sup>1</sup> during the exam** (other than to record your answers or view OS/161 code). You must complete the exam on your own.

I have neither given nor received help on this exam.

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Please sign your name here indicating that you have neither given nor received help on this exam.

Please note in this space the time period(s) during which you worked on this exam.

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1. Computers include cell phones, pdas, smart phones or any device with which you can talk to a network.

**True/False (20 points)**

For each statement, answer T if the answer is always true, else answer F.

1. All operating system code run in the same address space. T F
2. Once an operating system is running, you cannot extend its functionality. T F
3. If memory were infinite, there would be no need for virtual memory. T F
4. The scheduler runs on every context switch. T F
5. A context switch can occur in the middle of an instruction. T F
6. Shortest-time-to-completion-first is the fairest scheduling algorithm. T F
7. Multiprogramming is unnecessary on single-user systems. T F
8. Locks and binary semaphores are equivalent. T F
9. Virtual memory requires hardware support. T F
10. Unless you put bugs in your code, you cannot have deadlock on a preemptible resource. T F

**Multiple Choice (9 points)**

**11. Assume that you have a semaphore associated with each item (and on the head structure) on a doubly linked list. Using no other synchronization primitives, what is the fewest number of semaphores that you must acquire for any operation (lookup, insert, delete)?**

- A. 1
- B. 3
- C. N, where N is the number of items on the list.
- D. None of the above

**12. Which of the following operations can be done on a typical processor without trapping into the kernel (that is, which of these, could be implemented in user space without wreaking havoc)? You may list as many answers as you think are correct.**

- A. process fork
- B. thread fork
- C. getpid()
- D. write data to a disk drive
- E. increase the amount of memory allocated to a process
- F. increase the amount of memory allocated to a thread's stack

**13. Which of the following scheduling algorithms will tend to schedule I/O bound jobs before CPU bound jobs?**

- A. Shortest-time-to-completion-first
- B. Multilevel Feedback Queues
- C. Lottery Scheduling
- D. Round Robin
- E. First Come First Serve

**Short Answer (43 points)**

**14. List two common hardware primitives with which you can implement synchronization primitives on a multiprocessor. Explain how each can be used.**

**15. What other hardware mechanism can be used on a uniprocessor to achieve mutual exclusion?**

**16. List two reasons why an operating system designer might choose not to have user threads map 1:1 with kernel threads.**

**17. For each of the following traps, indicate whether the trap is synchronous or asynchronous with respect to a user-level program.**

System call

Exception

Interrupt

**18. Why might it be advantageous to keep a process running on the same processor on which it last ran (this is called processor affinity)?**

**19. Imagine that you've been asked to build an operating system for a simple embedded processor. The processor has no virtual memory and no memory translation unit. What functionality must you build into your process loader if you wish to have multiple programs resident in memory?**

**20. In a system with virtual memory, how can you share memory between two processes?**

**21. You have been asked to design an adaptive VM system. On a per-process basis, you must decide whether to swap the entire process in and out of memory or whether to simply demand-page it in.**

What statistics do you want to gather to help you make this decision?

Describe how you'll use the information that you collect.

**22. Which scheduling algorithms discussed in class, listed in the notes, and described in the book guarantee no starvation?**

**23. In a real time system, processes indicate hard constraints about when they need to run. If you were designing a scheduler for such a system, speculate about how would you use that information and what scheduling algorithm you would implement? .**

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**24. Although First-come-first-serve is less fair than multi-level feedback queues, what reason might you have for deciding to use it anyway?**

**Fun with MMUs (23 points)**

BNE Corporation has just announced a new CPU/MMU combination.

It has a 9-bit virtual address space with 3 bits of segment number and 6 bits of offset. The 3 bit segment number indexes into a segment table. The machine supports a maximum of 4 KB of physical memory. They claim that the system can support demand-paging of 16-byte pages.

**25. How large are segments on this architecture?**

**26. How many pages are there per segment?**

It turns out that BNE printed their architecture manual single-sided, omitting every other page. As a result, you know some things about the architecture, but you're going to have to reverse engineer the rest. Here is what you know.

1. The MMU contains a segment table containing 8 entries.
2. Each entry contains a base (8 bits) and a bounds (6 bits) and 1 protection bit indicating if the segment is executable.
3. There is mention of page tables in the MMU, but most of the discussion about them is missing.

For the next set of questions, make an informed guess about how the machine works. Justify your guess.

**27. During execution, when an address goes to the MMU, how does it determine which segment table entry to consult?**

**28. How many PTEs are in a page table?**

**29. List two different conditions that might cause the MMU to generate an exception. Give concrete examples (explain the contents of an entry in the segment table, what it would contain and what address and/or instruction would cause the exception). Try to make your exceptions as different as possible.**

Recall that the architecture supports demand paging. With that in mind, answer the following questions.

**30. What information must appear in a page table entry?**

**31. Is it possible for an address to generate neither of the exceptions you listed in question 29, but still generate a page fault? If so, how? If not, why not?**

**Synchronization (15 points)**

For each of the problems described below, indicate which of the following synchronization primitives is BEST suited for it. Explain briefly why you chose the primitive you did.

Counting semaphore  
Lock w/condition variable  
Locks  
R/W Locks  
Monitors

**32. You have a bushel of apples. There are a pile of worms nearby. The bad news is that both you and the worms want to eat the apples. The good news is that the worms are willing to use whatever synchronization primitive you want. The worms don't mind if there are other worms or people eating the apple while they are munching away, but you are a bit squeamish and refuse to eat an apple while any worms are eating it (you are however, not so squeamish as to pass up an apple that currently has no worms, even if it had worms in the past).**

**33. You notice that the person you'd like to ask out on a date is wearing a mood ring. You decide that it's best to ask the person out when the mood ring is either blue or purple. Once again you find yourself in the fortunate situation that the mood ring contains an embedded controller capable of issuing appropriate synchronization operations before it changes color (and of course, you know how to use synchronization primitives as well). What synchronization primitive do you want to share with the mood ring to help you get a date?**

**34. Professor Seltzer has four cats and two hamsters. Her friend Mary has eleven cats. Margo invites Mary's eleven cats over for a playdate. Each cat is allowed to play with either hamster, but only one cat can play with a particular hamster at any one time. The cats are clever beasts and know how to use synchronization primitives. What primitive do you want to use to grant the cats access to the hamsters? (Don't worry, the hamsters are studly and will take out any cat that tries to eat them.)**

**35. You've been giving a set of self-synchronizing chairs. The set supports the operations: `allocate_chair`, `free_chair`, `spin_all_chairs`, `throw_chair_down_stairs`. The set was manufactured by a company all of whose employees aced CS161. What primitive do you suppose they used to implement the chair interfaces?**

**36. Every CS161 student was so excited about the midterm that they wanted to schedule a meeting with Professor Seltzer. Naturally, she required proper synchronization to do so. What primitive did she pick?**