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# Blind Signal Classification via Sparse Coding

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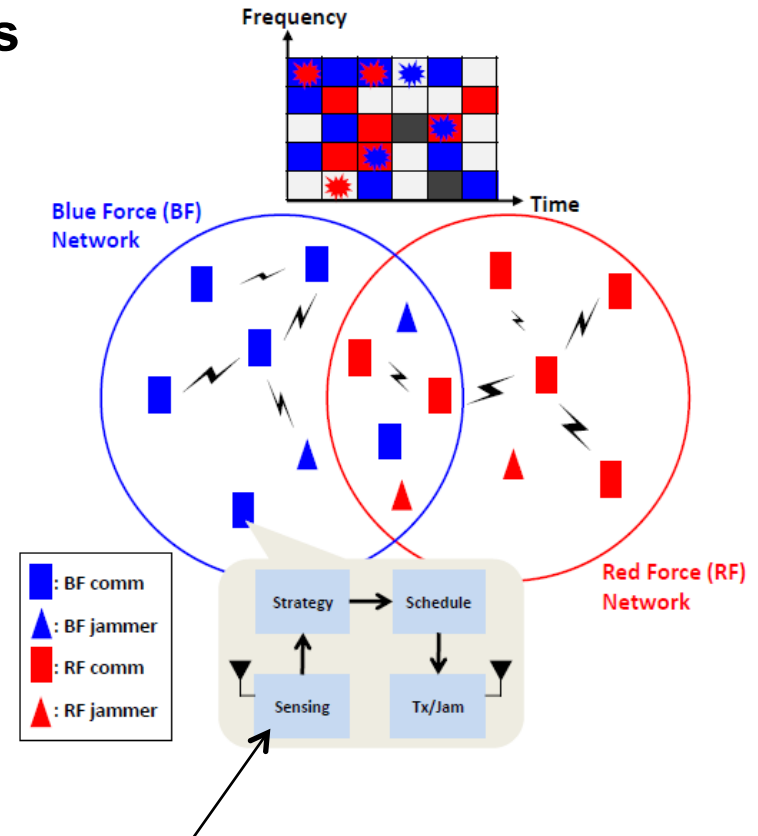
# Outline

- **Motivation**
- **Background**
- **Technical Approach**
- **Evaluation**
- **Results**
- **Summary**



# Motivation

- **Competing Cognitive Radio Network (CCRN) models tactical radio networks under competition**
  - **Blue Force (*friend*) vs. Red Force (*adversary*)**
  - **Dynamic, open spectrum resource for opportunistic data access**
  - **Nodes are cognitive radios**
    - **Comm nodes and jammers**
  - **Strategic jamming attacks**



**This paper is about signal classification at spectrum sensing level using semi-supervised machine learning approach**



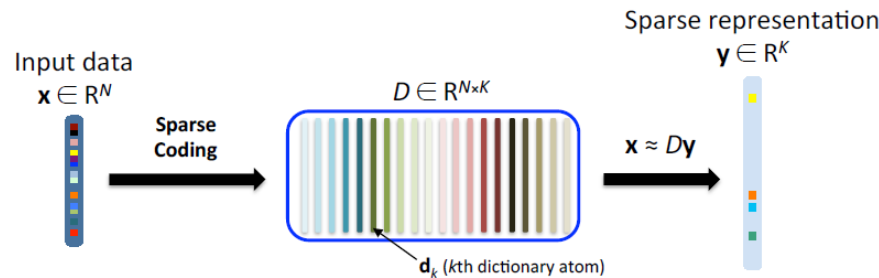
# Background: Taxonomy of Spectrum Sensing

- **Non-learning based spectrum sensing**
  - Energy detection
  - Cyclostationary detection
- **Learning-based spectrum sensing**
  - **Supervised learning (requires labeled examples of all signals you want to classify)**
    - Support vector machine (SVM), logistic/softmax regression, neural network
  - **Unsupervised learning (no labeled examples required)**
    - Clustering techniques (e.g., K-means, GMM): partition data mixed of unknown identities into clusters
  - **Semi-supervised (unsupervised feature learning followed by supervised phase)**
    - **Sparse coding + SVM (you need some labeled examples)**

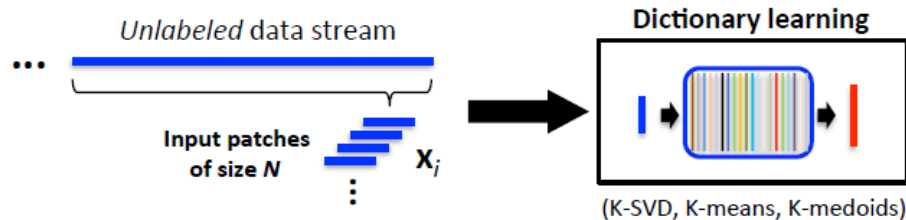


# Background: Sparse Coding and Dictionary Learning

- **Sparse coding is an unsupervised learning method**
  - Transforms raw data into their sparse feature representations given set of basis vectors (dictionary)



- **Dictionary learning**
  - Learns basis vectors  $d_k$  (dictionary atoms) required for sparse coding

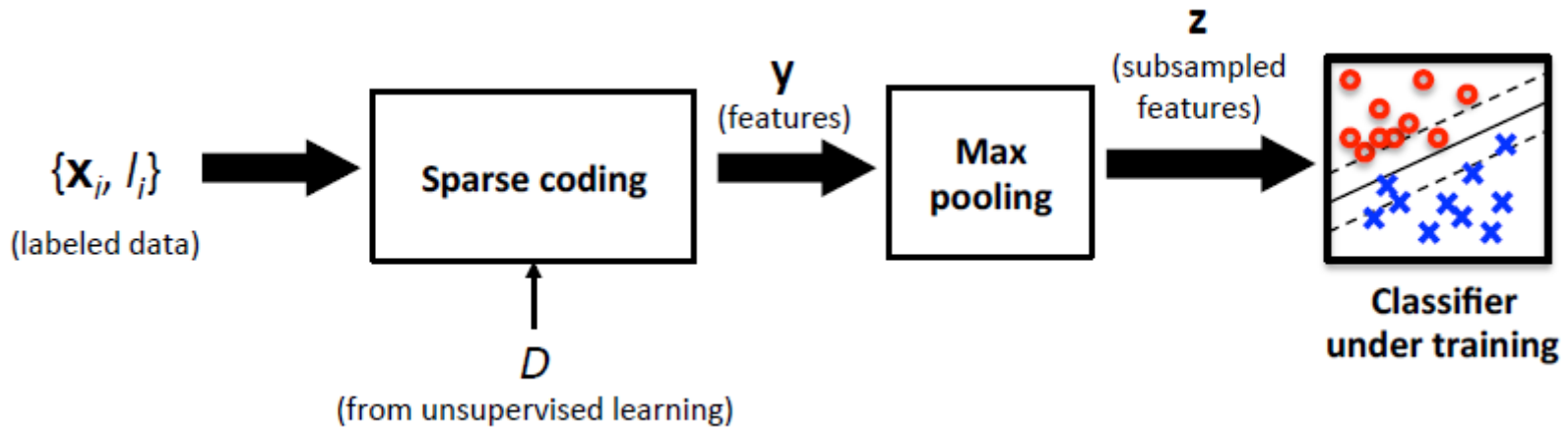




# Technical Approach: Semi-Supervised Learning with Sparse Coding

- **Classification pipeline**

1. Extract feature vectors via sparse coding:  $x_i \rightarrow y_i$
2. Summarize multiple feature vectors via pooling:  $y_i \rightarrow z$
3. Train SVM classifiers that takes pooled sparse-coded input  $z$



**Trained SVM predicts label of unknown input data**



# Technical Approach (cont'd): Modification of Sparse Coder with Convolution

- **Classical inner-product sparse coders are not appropriate for our applications resulting in redundant dictionary atoms**
  - Received signals are time series with unknown phases
- **Our enhancement: simple convolution sparse coder**
  - For  $S$ -sparse  $y$ , take  $S$  steps of greedily choosing max convolution value and removing its contribution from  $x$  for next

$$y_i = \max_{k \in \{1, \dots, K\}} |x * d_k|$$



# Evaluation

- **Simulation environment**
  - Used MATLAB communications toolbox to generate modulated RF signals
  - Used LIBSVM to train SVM classifiers
  - Used K-SVD algorithm to learn dictionary for sparse coding
- **Assumptions**
  - There are four signal classes in our experiments
    - Friendly signals: S1 (single-carrier QPSK with rectangular pulse) and S2 (OFDM with raised cosine pulse)
    - Adversary signals: S3 (QPSK with custom pulse) and S4 (OFDM with custom pulse)
- **Scenarios**
  - Case 1 (Blind clustering) – apply K-means clustering on sparse-coded signals using four classes of signals
  - Case 2 (One-class SVM) – train SVM classifiers using only friendly signals
  - Case 3 (1-vs-all SVM) – train SVM classifiers using mostly friendly signals and some adversary signals

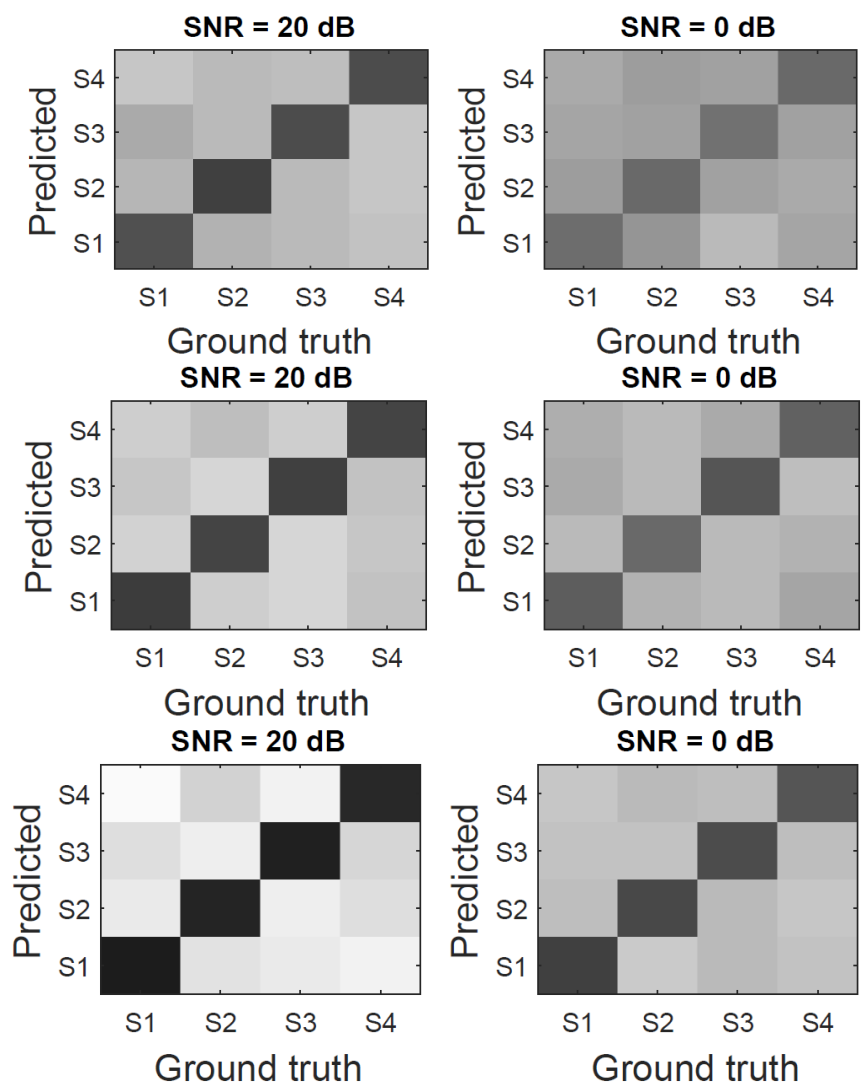




# Results: Confusion Matrices

- **Confusion matrix is good for visualizing multiclass classification performance**
- **Confusion matrices for:**
  - **Case 1 (Blind clustering) – apply K-means clustering on sparse-coded signals using four classes of signals**
  - **Case 2 (One-class SVM) – train SVM classifiers using only friendly signals**
  - **Case 3 (1-vs-all SVM) – train SVM classifiers using mostly friendly signals and some adversary signals**

**Darkest box: 0.89**  
**Lightest box: 0.06**





# Results: Recall & False Alarm Performance

- **Recall & false alarm performances for:**
  - **Blind clustering – apply K-means clustering on sparse-coded signals using four classes of signals**
  - **One-class SVM – train SVM classifiers using only friendly signals**
  - **1-vs-all SVM – train SVM classifiers using mostly friendly signals and some adversary signals**

<b>Scenarios</b>	<b>Recall 20 dB (0 dB)</b>	<b>False Alarm 20 dB (0 dB)</b>
<b>Case 1 (Blind clustering)</b>	<b>0.703 (0.582)</b>	<b>0.246 (0.367)</b>
<b>Case 2 (One-class SVM)</b>	<b>0.768 (0.634)</b>	<b>0.213 (0.307)</b>
<b>Case 3 (1-vs-all SVM)</b>	<b>0.878 (0.726)</b>	<b>0.141 (0.262)</b>



# Summary

- **Presented semi-supervised framework for RF signal classification at spectrum-sensing level based on sparse coding**
  - Proposed sparse coding + SVM requires no prior knowledge about signals
  - Sparse coding dictionary can be pre-generated or learned
- **Developed simulation to assess performance for:**
  - Blind clustering – apply K-means clustering on sparse-coded signals using four classes of signals
  - One-class SVM – train SVM classifiers using only friendly signals
  - 1-vs-all SVM – train SVM classifiers using mostly friendly signals and some adversary signals
- **Explore more practical applications with cognitive radios**
- **Improve computational complexity**
  - Develop efficient sparse coding and dictionary learning algorithms for mobile handsets